



*Sector 4*  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 18, 2001.

  
Diane Zynn

Applicant : Craig L. Ogg, et al.  
Application No. : 09/688,452  
Filed : October 16, 2000  
Title : ROLE ASSIGNMENTS IN A CRYPTOGRAPHIC MODULE FOR SECURE PROCESSING OF VALUE-BEARING ITEMS  
  
Grp./Div. : 2131  
Examiner : To be assigned  
  
Docket No. : 40628/RRT/S850

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
January 18, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated November 29, 2000, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Check for \$1,704.00, including the filing fee (\$1,574.00) and surcharge (\$130.00) for a large entity.
3. Executed Declaration and Power of Attorney.
4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the pendency of this application to Deposit Account No. 03-1728.

**Application No. 09/688,452**

Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/dsz  
Enclosures  
DSZ PAS297811.1\*-1/17/01 3:21 PM

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/688,452	10/16/2000	Craig L. Ogg	40628/RRT/S850

Christine Parker & Hale LLP  
P O Box 7068  
Pasadena, CA 91109-7068



## FORMALITIES LETTER



\*OC000000005582955\*

Date Mailed: 11/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$864.
  - \$864 for 48 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1704.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

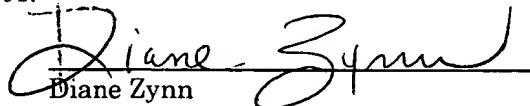
01/25/2001 ETULU1 00000009 09688452

01 FC:101	710.00 0P
02 FC:103	864.00 0P
03 FC:105	130.00 0P

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